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TSM-35

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Y. Mimatsu et al.

Serial No.: 10/787,112

Filed: February 27, 2004

For: METHOD AND APPARATUS FOR SETTING ACCESS  
RETRITION INFORMATION

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97 & 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

October 26, 2005

Sir:

In the matter of the above-identified application, Applicants are submitting herewith the attached form equivalent to Form PTO-1449 for the Examiner's consideration. Copies of the foreign patents and non-patent references are also attached.

This information disclosure statement is being submitted before the mailing date of a first Office Action on the merits.

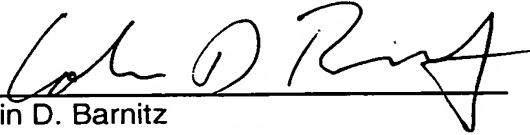
To the extent the documents listed on the attached form equivalent to Form PTO-1449 are not in the English language, the requirement of 37 CFR §1.98(a)(3) for a concise explanation of the relevance is satisfied by an English language abstract of the reference attached thereto.

It is respectfully requested that this information disclosure statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of Mattingly, Stanger, Malur & Brundidge, Deposit Account No. 50-1417 (referencing attorney docket no. TSM-35) please credit any excess fees to such deposit account.

Respectfully submitted,

MATTINGLY, STANGER, MALUR & BRUNDIDGE, P.C.

A handwritten signature in black ink, appearing to read "Colin D. Barnitz", written over a horizontal line.

Colin D. Barnitz  
Registration No. 30,293

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**FORM PTO-1449** U.S. Department of  
Commerce (Rev. 4/92) Patent and Trademark  
Office

ATTY. DOCKET NO.

SERIAL NO.

**TSM-35****10/787,112**

APPLICANT

**Y. MIMATSU et al.**

FILING DATE

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GROUP

**2131**

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use several sheets if necessary)

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,771,137	11-1973	BARNER et al.			
	4,025,904	5-1977	ADNEY et al.			
	5,408,465	4-1995	GUSELLA et al.			
	5,459,857	10-1995	LUDLAM et al.			
	5,504,882	4-1996	CHAI et al.			
	5,548,712	8-1996	LARSON et al.			
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	5,680,640	10-1997	OFEK et al.			
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**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	ABSTRACT	
						YES	NO
	JP 9-288547 A	11-1997	Japan			X	
	JP 10-283272 A	10-1998	Japan			X	
	JP 2002-230246 A	8-2002	Japan			X	
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**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	White Paper; Network Attached Storage In the Data Protection Environment, Presented by Sony Electronics, February 2002.
	SCSI-3 Fault Tolerant Controller Configurations Utilizing SCC & New Event Codes, Editor: Steve Sicola, High Availability Study Group, Document No.: X3T10 95-312r3, Rev. 3.0, February 28, 1996.

EXAMINER

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	5,870,537	2-1999	KERN et al.			
	5,895,485	4-1999	LOECHEL et al.			
	5,917,723	6-1999	BINFORD			
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	5,978,890	11-1999	OZAWA et al.			
	6,012,123	1-2000	PECONE et al.			
	6,098,129	8-2000	FUKUZAWA et al.			
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	6,230,239	5-2001	SAKAKI et al.			
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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	ABSTRACT	
						YES	NO
	JP 2000-293317 A	10-2000	Japan			X	
	JP 11-184641 A	7-1999	Japan			X	
	JP 10-508967T (abstract only)	9-1998	Japan			X	
	JP 2001-067187 A	03-2001	Japan			X	

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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	EP 1 130 514 A2	09-2001	Europe				

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	6,883,064	4-2005	YOSHIDA et al.			

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	<b>2002/0004857 A1</b>	<b>1-2002</b>	<b>ARAKAWA et al.</b>			
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(Form PTO-1449 [6-4])

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	2004/0260875 A1	12-2004	MUROTANI et al.			
	2004/0260966 A1	12-2004	KAIYA et al.			
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